

PTO/SB/21 (08-00)

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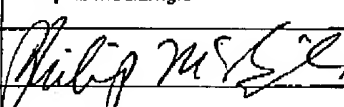
Approved for use through 10/31/2002. OMB 0651-0031

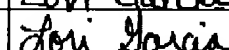
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/920,491
	Filing Date	07/31/2001
	First Named Inventor	Dong
	Group Art Unit	TBA
	Examiner Name	TBA
Total Number of Pages in This Submission	Attorney Docket Number	3417

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Modified 1449 References
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Philip L. McGarrigle
Signature	
Date	November 19, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 11/19/2001	
Typed or printed name	Lori Garcia
Signature	
Date	11/19/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT
Attorney Docket No.3417

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shoulian Dong.

Serial No: 09/920,491

Filing Date: 7/31/2001

Title: **COMPLEXITY MANAGEMENT
OF GENOMIC DNA**

Examiner:

Group Art Unit:

**INFORMATION DISCLOSURE
STATEMENT**

Commissioner for Patents
Washington, D.C. 20231

Sir:

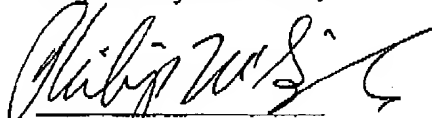
Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application. Copies of the documents are enclosed.

Citation of these documents shall not be construed as (1) an admission that the document are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 01-0431. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

Dated: November 19, 2001

Respectfully submitted,



Philip J. McGarrigle
Reg. No. 31, 395

Legal Department
Affymetrix, Inc.
3380 Central Expressway
Santa Clara, CA 95051
Tel: 408/731-5021 Fax: 408/731-5392

Sheet 1 of 1

PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO.: 3417	SERIAL NUMBER: 09/920,491
	APPLICANT: Dong et al.	
	FILING DATE: 7/31/2001	GROUP ART UNIT: 0000

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	4,683,202	7/28/87	Mullis			
	4,683,195	7/28/87	Mullis et al.			
	4,800,159	1/24/89	Mullis et al.			
	5,858,656	1/12/99	Deugau, et al.			
	6004783	12/21/99	Ausubel et al.			
	6,287,825	9/11/2001	Weissman et al.			
	6,232,067	5/01	Hunkapiller et al.			
	6,045,994	4/4/00	Zabeau et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLA TION YES/NO
	EPO534858 B1	9/24/92	EPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

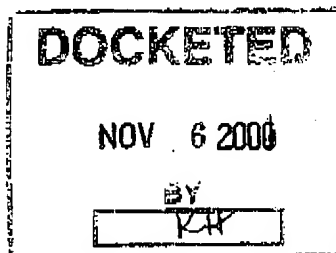
	Vos et al., "AFLP: A New Technique for DNA Fingerprinting," Nucleic Acids Research, 1995, Vol 23 pp4407-4414.

EXAMINER	DATE CONSIDERED
EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.	

SERIAL NO.: 09/920,441 DATE FILED: 7/2/01 DOCKET NO.: 3417
TITLE: Complexity Management of Genomic DNA
APPLICANT: Danag DATE MAILED/HAND DELIVERED: 11/02/01
☐ CERTIFICATE OF MAILING ☐ EXPRESS MAIL LABEL NO.:

THE U.S. PATENT & TRADEMARK OFFICE ACKNOWLEDGES RECEIPT OF:

<input type="checkbox"/> PROV.	<input type="checkbox"/> NON-PROV.	<input type="checkbox"/> CPA	<input type="checkbox"/> CIP	<input type="checkbox"/> CONT.	<input type="checkbox"/> DIV.
<input type="checkbox"/> pp. Spec. <input type="checkbox"/> pp. Claims <input type="checkbox"/> pp. Abstract			<input type="checkbox"/> Amendment / Response <input type="checkbox"/> pp.		
<input checked="" type="checkbox"/> Transmittal Letter <u>1</u> copies			<input type="checkbox"/> Req. for Extension of Time		
<input type="checkbox"/> Response Missing Parts <input type="checkbox"/> pp.			<input type="checkbox"/> Sequence Listing <input type="checkbox"/> pp.		
<input type="checkbox"/> Declaration <input type="checkbox"/> Signed <input type="checkbox"/> Unsigned			<input type="checkbox"/> Petition		
<input checked="" type="checkbox"/> Assignment (w/cover letter) <u>2</u> pages			<input type="checkbox"/> Issue Fee Transmittal Form		
<input type="checkbox"/> Power of Attorney <input type="checkbox"/> Appointment of Agent			<input type="checkbox"/> Declaration / Affidavit		
<input type="checkbox"/> Informal Drawings <input type="checkbox"/> sheets			<input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Appeal Brief (plus 2 copies)		
<input type="checkbox"/> Formal Drawings <input type="checkbox"/> sheets			<input type="checkbox"/> Req. for Corrected Filing Receipt		
<input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> pages					
<input type="checkbox"/> Modified Form 1449 <input type="checkbox"/> pp. <input type="checkbox"/> Refs.					
<input checked="" type="checkbox"/> Fee Transmittal					
<input checked="" type="checkbox"/> Recordation Form Cover Sheet					



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PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/920,491
	Filing Date	July 31, 2001
	First Named Inventor	Shoulian Dong
	Group Art Unit	1634
	Examiner Name	David R. Gunter
Total Number of Pages in This Submission	Attorney Docket Number	3417

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below): <div>Three (3) References Form PTO 1449 Return Receipt Postcard</div>
Remarks		The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 01-0431.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Leticia Block, Reg. No.: 50,167
Signature	<i>Leticia R. Block</i>
Date	July 3, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>July 3, 2002</u>			
Typed or printed name	<u>Sylvia Rogers</u>		
Signature	<i>Sylvia Rogers</i>	Date	<u>7/3/02</u>

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 180

Complete if Known	
Application Number	09/320,481
Filing Date	July 31, 2001
First Named Inventor	Shoulan Dong
Examiner Name	TBD
Group / Art Unit	TBD
Attorney Docket No.	3417

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																		
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 01-0341 Deposit Account Name: 01-0431 <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>450</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td></td></tr> <tr><td>143</td><td>480</td><td>243</td><td>230</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td></td></tr> <tr><td>125</td><td>180</td><td>125</td><td>180</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td></td></tr> <tr><td>148</td><td>740</td><td>246</td><td>370</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td></td></tr> </tbody> </table>		Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65		127	50	227	25		139	130	139	130		147	2,520	147	2,520		112	920*	112	920*		113	1,840*	113	1,840*		115	110	215	55		116	400	216	200		117	920	217	450		118	1,440	218	720		128	1,960	228	980		119	320	219	160		120	320	220	160		121	280	221	140		138	1,510	138	1,510		140	110	240	55		141	1,280	241	640		142	1,280	242	640		143	480	243	230		144	620	244	310		122	130	122	130		123	50	123	50		125	180	125	180		581	40	581	40		148	740	246	370		149	740	249	370		179	740	279	370		169	900	169	900	
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Leticia Block	Registration No. Attorney/Agent	50,167
Signature	Leticia R. Block	Telephone	408/731-5000
		Date	07-03-02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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PATENT
Attorney Docket No. 3417

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shoulian Dong

Examiner: TBD

Serial No: 09/920,491

Group Art Unit: TBD

Filing Date: July 31, 2001

Title: **Complexity Management of
Genomic DNA**

Commissioner for Patents
Washington, D.C. 20231

**SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT
WITH FEE UNDER § 1.17(p)**

Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application. Copies of the documents are enclosed.

Citation of these documents shall not be construed as (1) an admission that the document are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Please charge Deposit Account No. 01-0431 \$180.00 for the Information Disclosure Statement fee under 37 CFR § 1.17(p). The Commissioner is authorized to

charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-0431. This paper is being submitted in duplicate.

Respectfully submitted,

Letitia R. Block

Letitia Block
Reg. No.: 50,167

Date: July 3, 2002

Legal Department
Affymetrix, Inc.
3380 Central Expressway
Santa Clara, CA 95051
Tel: 408/731-5000
Fax: 408/731-5392

Sheet 1 of 1

PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SUPPLEMENT INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO.: 3417	SERIAL NUMBER: 09/920,491
	APPLICANT: Shoulian Dong	
	FILING DATE: July 31, 2001	GROUP ART UNIT: 1634

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLA TION YES/NO
	WO 00/18960	4/6/00	PCT			
	WO 02/20844 A1	3/14/02	PCT			
	WO 01/75163 A2	10/11/01	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.	

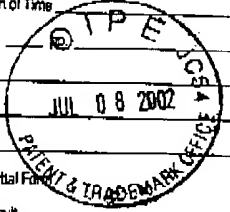
SW 3417

SERIAL NO.: 09/920,491 DATE FILED: 7/31/01 DOCKET NO.: 3417
TITLE: Complexity Management of Genomic DNA
APPLICANT: Shoudian Dong DATE MAILED/HAND DELIVERED: 7/3/02
☐ CERTIFICATE OF MAILING ☐ EXPRESS MAIL LABEL NO.: _____

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<input type="checkbox"/> pp. Spec.	<input type="checkbox"/> pp. Claims	<input type="checkbox"/> pp. Abstract	<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> pp.	
<input checked="" type="checkbox"/> Transmittal Letter	<input type="checkbox"/> 1 copies	<input checked="" type="checkbox"/> Fee Transmittal	<input type="checkbox"/> Req. for Extension of Time		
<input type="checkbox"/> Response Missing Parts	<input type="checkbox"/> pp.		<input type="checkbox"/> Sequence Listing		
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<input checked="" type="checkbox"/> Information Disclosure Statement	<u>2</u> pages (Supplemental)				
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3417

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PTO/SB/21 (6-98)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/920,491
	Filing Date	July 31, 2001
	First Named Inventor	Shoulian Dong
	Group Art Unit	1634
	Examiner Name	David R. Gunter
Total Number of Pages in This Submission	Attorney Docket Number	3417

ENCLOSURES (check all that apply)		
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Remarks		The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 01-0431.
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		DEC 20 2002
Firm or Individual name	Sandra Wells, Reg. No.: 52,349	
Signature	<i>Sandra Wells</i>	<i>UB</i>
Date	Nov. 27, 2002	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 11/27/02			
Typed or printed name	Sylvia Rogers		
Signature	<i>Sylvia Rogers</i>	Date	11/27/02

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PATENT

Attorney Docket No. 3218.2A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dong, et al.

Examiner: Young J. Kim

Serial No: 09/904,039

Art Unit: 1637

Filing Date: July 12, 2001

**Title: Complexity Management and
Analysis of Genomic Data**

Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application. Copies of the documents are enclosed.

Citation of these documents shall not be construed as (1) an admission that the document are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Applicants believe that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 01-0431. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

Respectfully submitted,

Sandra Wells

Sandra Wells

Reg. No.: 52,349

Date: Nov. 27, 2002

Sheet 1 of 1

PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SUPPLEMENT INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO.: 3417	SERIAL NUMBER: 09/920,491
	APPLICANT: Shoulian Dong	
	FILING DATE: July 31, 2001	GROUP ART UNIT: 1634

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	5,093,245	3/3/92	Keith et al.	435	91	1/26/88
	5,366,877	11/22/94	Keith	435	91.2	11/22/94
	5,508,178	4/16/96	Rose et al.	435	91.1	4/9/94
	6,124,090	9/26/00	Rose et al.	435	6	5/9/95
	6,100,030	8/8/00	McCasky Feazel et al.	435	6	1/9/98
	6,472,185 B2	10/29/02	McCasky Feazel et al.	435	91.2	5/25/00

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLA TION YES/NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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3417

SERIAL NO.: 029/920 491 DATE FILED: 7/31/01 DOCKET NO.: 3417
TITLE: Complexity Management of Genomic DNA
APPLICANT: Shanghai Dong DATE MAILED/HAND DELIVERED: _____
☐ CERTIFICATE OF MAILING ☐ EXPRESS MAIL LABEL NO.: _____

THE U.S. PATENT & TRADEMARK OFFICE ACKNOWLEDGES RECEIPT OF:

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<input type="checkbox"/> pp. Spec: _____ pp. Claims _____ pp. Abstract _____	<input type="checkbox"/> Amendment / Response _____ pp. _____				
<input checked="" type="checkbox"/> Transmittal Letter _____ copies <input type="checkbox"/> Fee Transmittal	<input type="checkbox"/> Req. for Extension of Time _____				
<input type="checkbox"/> Response Missing Parts _____ pp.	<input type="checkbox"/> Sequence Listing _____ pp. _____				
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<input type="checkbox"/> Power of Attorney <input type="checkbox"/> Appointment of Agent	<input type="checkbox"/> Declaration / Affidavit _____				
<input type="checkbox"/> Informal Drawings _____ sheets	<input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Appeal Brief (plus 2 copies)				
<input type="checkbox"/> Formal Drawings _____ sheets	<input type="checkbox"/> Req. for Corrected Filing Receipt				
<input checked="" type="checkbox"/> Information Disclosure Statement <u>2</u> pages					
<input checked="" type="checkbox"/> Modified Form 1449 <u>1</u> pp. <u>2</u> Pels (Supplemental)					
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/920,491
	Filing Date	July 31, 2001
	First Named Inventor	Shoulian Dong
	Group Art Unit	1634
	Examiner Name	David R. Gunter
Total Number of Pages in This Submission	Attorney Docket Number	3417

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Return postcard Form 1449 Two References
Remarks		The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 01-0431.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Sandra Wells, Reg. No.: 52,349
Signature	<i>Sandra Wells</i>
Date	10/30/02

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PATENT
Attorney Docket No. 3417

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shoulian Dong

Serial No: 09/920,491

Filing Date: July 31, 2001

Title: **Complexity Management of
Genomic DNA**

Examiner: David R. Gunter

Group Art Unit: 1634

Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application. Copies of the documents are enclosed. Also enclosed is a copy of the PCT International Search Report PCT/US02/23568 for your reference.

Citation of these documents shall not be construed as (1) an admission that the document are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

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Date:

Sandra Wells 10/30/02

Respectfully submitted,

Sandra Wells

Sandra Wells
Reg. No.: 52,349

Legal Department
Affymetrix, Inc.
3380 Central Expressway
Santa Clara, CA 95051
Tel: 408/731-5000
Fax: 408/731-5392

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PTO/SB/08A (10-96)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete If Known

Application Number	09/920,491
Filing Date	July 31, 2001
First Named Inventor	Shoulian Dong
Group Art Unit	1634
Examiner Name	David R. Gunter
Attorney Docket Number	3417

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		6,156,502		Beattie	12/5/00	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	SMITH, D.R., "Litigation-mediated PCR of Restriction Fragments from Large DNA Molecules, PCR Methods and Applications, 1992, Vol. 2., No. 1, pages 21-27.	

Examiner
SignatureDate
Considered¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.² Unique citation designation number. ³ Applicant is to place a check mark here if English language Translation is attached.

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SW 3417

SERIAL NO.: 09/920,441 DATE FILED: 7/31/01 DOCKET NO.: 3417
 TITLE: Complexity Management of Genomic DNA
 APPLICANT: Shoulian Dong DATE MAILED/HAND DELIVERED: 10/30/02
☐ CERTIFICATE OF MAILING ☐ EXPRESS MAIL LABEL NO.: _____

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<input type="checkbox"/> _____ pp. Spec. _____ pp. Claims _____ pp. Abstract			<input type="checkbox"/> Amendment / Response _____ pp.		
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